

## Assets, liabilities and equity

"Unified accumulative pension fund" joint stock company

(in thousands of  
tenge)

| Item name  | 01.01.2019 | 01.04.2019 | 01.07.2019 | 01.10.2019 | 01.01.2020 |
|--|------------|------------|------------|------------|------------|
| Cash and cash equivalents  | 7 572 852  | 31 768 764 | 11 758 877 | 20 457 109 | 18 182 738 |
| including:   |            |            |            |            |            |
| cash on hand   |            |            |            |            |            |
| cash in bank   | 7 572 852  | 31 768 764 | 11 758 877 | 20 457 109 | 18 182 738 |
| Refined precious metals  |            |            |            |            |            |
| Allocated deposits (less provision for impairment)                   |            |            |            |            |            |
| Securities measured at fair value through profit or loss             |            |            |            |            |            |
| Derivative financial instruments                                     |            |            |            |            |            |
| Securities measured at fair value through other comprehensive income |            |            |            |            |            |
| Reverse repurchase agreement operations                              |            |            |            |            |            |
| Advances paid  | 17 587     | 23 792     | 13 109     | 17 835     | 25 127     |
| Deferred expenses  | 90 809     | 126 363    | 198 334    | 178 832    | 111 413    |
| Commission fees  | 6 729 407  | 2 365 961  | 4 449 584  | 6 982 408  | 5 227 942  |
| including:   |            |            |            |            |            |
| on pension assets  | 1 365 023  | 1 437 776  | 1 460 612  | 1 428 094  | 1 519 717  |
| from investment income (loss) on pension assets                      | 5 364 384  | 928 185    | 2 988 972  | 5 554 314  | 3 708 225  |
| Inventories  | 89 585     | 81 424     | 80 681     | 90 311     | 69 255     |

|   |                    |                    |                    |                    |                    |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| Securities measured at amortized cost (less allowance for impairment)         | 109 673 955        | 102 240 771        | 128 592 117        | 129 195 806        | 142 768 315        |
| Investment property   | 7 647 811          | 6 904 389          | 6 547 666          | 6 418 285          | 6 148 801          |
| Investments in capital of other legal entities                                |                    |                    |                    | 0                  | 0                  |
| Accounts receivable (less allowance for impairment)                           | 22 276             | 241 461            | 43 724             | 237 053            | 261 678            |
| Long-term assets (disposal groups), available for sale                        |                    |                    | 3 323              | 3 203              | 0                  |
| Intangible assets at amortized cost (net of amortization and impairment loss) | 856 486            | 844 229            | 982 529            | 839 231            | 771 870            |
| Fixed assets (net of amortization and impairment loss)                        | 2 465 366          | 3 937 496          | 3 762 372          | 3 716 048          | 4 129 450          |
| Deferred tax claim  | 287 328            | 291 205            | 291 205            | 291 205            | 259 235            |
| Other accounts receivable (less allowance for impairment)                     | 65 135             | 111 075            | 104 218            | 54 095             | 49 433             |
| Claims to tax and other obligatory payments to budget                         | 705 924            | 2 441 380          | 3 793 177          | 5 447 143          | 378 397            |
| Other assets  | 187 050            | 187 050            | 187 050            | 187 050            | 184 690            |
| <b>Total assets:</b>  | <b>136 411 571</b> | <b>151 565 360</b> | <b>160 807 966</b> | <b>174 115 614</b> | <b>178 568 344</b> |
|   |                    |                    |                    |                    |                    |
| Liabilities   |                    |                    |                    |                    |                    |
| Derivative financial instruments  |                    |                    |                    |                    |                    |
| Repurchase agreement operations   |                    |                    |                    |                    |                    |
| Loans received  |                    |                    |                    |                    |                    |
| Accounts payable  | 28 744             | 562                | 2 378              | 188 036            | 126 550            |
| Unearned revenue (advance)  | 295                | 914                | 1 227              | 1 584              | 678                |
| Reserves  | 2 007 832          | 2 347 862          | 1 671 698          | 2 111 163          | 1 830 007          |
| Accrued shareholders expenses   |                    |                    |                    | 0                  | 0                  |
| Accrued personnel expenses  | 13 291             | 2 548              | 3 450              | 2 465              | 227                |

|   |                    |                    |                    |                    |                    |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| Deferred income   |                    |                    |                    | 0                  | 0                  |
| Deferred tax obligation   |                    |                    |                    | 0                  | 0                  |
| Liability to tax budget and other obligatory payments to budget | 182 590            | 2 516 958          | 3 803 061          | 5 965 749          | 255 303            |
| Other liabilities   | 149                | 646 038            | 567 499            | 486 169            | 765 105            |
| <b>Total liabilities</b>  | <b>2 232 901</b>   | <b>5 514 882</b>   | <b>6 049 313</b>   | <b>8 755 166</b>   | <b>2 977 870</b>   |
| Equity  |                    |                    |                    |                    |                    |
| Authorized capital  | 7 114 244          | 7 114 244          | 7 114 244          | 7 114 244          | 7 114 244          |
| including:  |                    |                    |                    |                    |                    |
| common stocks   | 7 114 244          | 7 114 244          | 7 114 244          | 7 114 244          | 7 114 244          |
| preferred stocks  |                    |                    |                    |                    |                    |
| Bonuses (additional paid-in capital)                            |                    |                    |                    |                    |                    |
| Withdrawn capital   |                    |                    |                    |                    |                    |
| Statutory reserve   | 4 056 517          | 4 056 517          | 4 056 517          | 4 056 517          | 4 056 517          |
| Other provisions  | 27 976             | 33 574             | 31 339             | 82 052             | 70 386             |
| Retained earnings (undistributed)                               | 122 979 933        | 134 846 143        | 143 556 553        | 154 107 635        | 164 349 327        |
| including:  |                    |                    |                    |                    |                    |
| previous years  | 81 688 417         | 122 962 843        | 122 965 187        | 122 967 365        | 122 979 031        |
| reporting period  | 41 291 516         | 11 883 300         | 20 591 366         | 31 140 270         | 41 370 296         |
| <b>Total capital:</b>   | <b>134 178 670</b> | <b>146 050 478</b> | <b>154 758 653</b> | <b>165 360 448</b> | <b>175 590 474</b> |
| <b>Total capital and liabilities</b>                            | <b>136 411 571</b> | <b>151 565 360</b> | <b>160 807 966</b> | <b>174 115 614</b> | <b>178 568 344</b> |